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Attorney Docket No.: 16869P-019200US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Examiner:

Unassigned

Tanaka et al.

Art Unit:

2185

Application No.: 09/754,169

Filed: January 3, 2001

REQUEST FOR CORRECTED FILING **RECEIPT**

For:

STORAGE SYSTEM

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, D.C. 20231

Sir:

Attached is a copy of the official Filing Receipt received from the Patent and Trademark Office in the above-noted application for which issuance of a corrected filing receipt is respectfully requested.

There is an error in that the Title should read as follows:

STORAGE SYSTEM

The correction is not due to any error by applicant and no fee is due.

Respectfully submitted,

Reg. No. 37,478

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834

Tel: (650) 326-2400 Fax: (415) 576-0300

GBFY:cmm

PA 3137342 v1

IND CLAIMS

1



20350

United States Patent and Trademark Office

GRP ART UNIT

16869P-019200US

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE

TOT CLAIMS

WASHINGTON, D.C. 2023! www.uspto.gov

09/754,169

EIGHTH FLOOR

FILING DATE 01/03/2001

TOWNSEND AND TOWNSEND AND CREW

TWO EMBARCADERO CENTER

SAN FRANCISCO, CA 94111-3834

2185

710

FIL FEE REC'D

16869P-019200US

ATTY.DOCKET.NO DRAWINGS

5

6

CONFIRMATION NO. 2772

FILING RECEIPT

OC000000005790253

Date Mailed: 02/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Koichi Tanaka, Odawara, JAPAN; Kenichi Takamoto, Odawara, JAPAN:

Assignment For Published Patent Application

Hitachi, Ltd.,;

Continuing Data as Claimed by Applicant

Foreign Applications

JAPAN 2000-027201 01/31/2000

If Required, Foreign Filing License Granted 02/20/2001

Projected Publication Date: To Be Determined - pending completion of Corrected Papers

Non-Publication Request: No

Early Publication Request: No

STORAGE SYSTEM Title

Storage system

Preliminary Class

711

Data entry by : ZEWDIE, BROUKTAWIT

Team : OIPE

Date: 02/22/2001

MACOUSTICAL DESCRIPTION DE LA CONTROL DE

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents Office of Initial Patent Examination Customer Service Center Washington, DC 20231



United States Patent and Trademark Office

UNITED STATES PATENT AND TRADEMARK OFFICE Washington, D.C. 20231

www.uspto.gov

PPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/754,169

01/03/2001

Koichi Tanaka

16869P-019200US

20350 TOWNSEND AND TOWNSEND AND CREW TWO EMBARCADERO CENTER **EIGHTH FLOOR**

SAN FRANCISCO, CA 94111-3834



Date Mailed: 02/22/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text) see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1);

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

PATENT Attorney Docket No.16869P-019200US

Washington, D.C. 20231	aterits,	
on	413/01	
TOWNSEND and TOWNSEN	D and CREW LLP	
By / Mr.		
, 		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Tanaka et al.

Serial No.: 09/754,169

Filed: January 3, 2001

For: STORAGE SYSTEM

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant submits five sheets of formal drawings to be made of record in the above-identified case.

Respectfully submitted,

Examiner: Unassigned

LETTER TO OFFICIAL DRAFTSPERSON

Art Unit: 2185

George B. F. Yee Reg. No. 37,478

TOWNSEND and TOWNSEND and CREW LLP Two Embarcadero Center, 8th Floor San Francisco, California 94111-3834 (650) 326-2400 Fax (415) 576-0300

GBFY:cmm

PA 3137336 v1

Please type a plus sign (+) inside this box --> +

PTO/SB/21 (08-0)

Approved for use through 10/31/2002, OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

APR 0 5 2001

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	09/754,169
Filing Date	January 3, 2001
First Named Inventor	Tanaka et al.
Group Art Unit	2185
Examiner Name	Unassigned
Attorney Docket Number	16869P019200

ENCLOSURES (check all that apply)							
Fee Transmittal F	Form	Assignment Papers (for an Application)		After Allowance Communication to Group			
Fee Attached	i	☐ Drawing(s) (five sheets)		Appeal Communication to Board of Appeals and Interferences			
Amendment / Re	sponse	Licensing-relate	d Papers	Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)			
After Final		Petition Routing and Accompany	Slip (PTO/SB/69) ying Petition	Proprietary Information			
Affidavits/dec	claration(s)	Petition to Conv Provisional App		Status Letter			
Extension of Time	e Request	Power of Attornation Change of Corn	ey, Revocation espondence Address	Other Enclosure(s) (please identify below):			
Express Abandonment Request		Terminal Disclaimer Request for Refund		Copy of Notice to File Corrected Application Papers Letter to Draftsperson			
Information Discle	osure Statement	CD, Number of CD(s)		Request for Corrected Filing Receipt Marked-up copy of Filing Receipt Postcard			
Certified Copy of Priority Document(s)		Remarks	The Commissioner is authorized to charge any additional for Deposit Account 20-1430.				
Response to Miss Incomplete Applie			1				
Response to Parts under 3 1.52 or 1.53							
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT							
Firm and							
Individual name	George B. F. Yee	orge B. F. Yee Reg No. 37,478					
Signature	Alust	Bf 4					
Date	Date April 3, 2001						
CERTIFICATE OF MAIL INC							

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

April 3, 2001

Typed or printed name Cynthia McKinley

Signature

April 3, 2001.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TO A MODULTTAL	
FEE TRANSMITTAL	Application Numl
for FY 2001	Filing Date
	First Named Inve

(\$) 0

Patent fees are subject to annual revision.

OTAL AMOUNT OF PAYMENT

	Complete if Known	
Application Number	09/754,169	
Filing Date	January 3, 2001	
First Named Inventor	Tanaka et al.	
Examiner Name	Unassigned	
Group Art Unit	Unassigned	
Attorney Docket No.	16869P019200	

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METHOD OF F		_			FEE C	ALCULATION (continued)	
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Number	}	105	130	205	65	Surcharge - tate filing fee or oath	
Deposit		127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
	nsend and Crew LLP	139	130	139	130	Non-English specification	
Name		147	2,520	147	2,520	For filing a request for reexamination	
Charge Any Additional Fee Requir Under 37 CFR 1.16 and 1.17	red	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
Applicant claims small entity statu See 37 CFR 1.27	us.	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
2. Payment Enclosed:	··· —	115	110	215	55	Extension for reply within first month	
		116	390	216	195	Extension for reply within second	
☐ Check ☐ Credit card ☐	Money Dther Order	117	890	217	445	month	
FEE CALCU	LATION					Extension for reply within third month	
1. BASIC FILING FEE		118	1,390	218	695	Extension for reply within fourth month	
Large Entity Small Entity		128	1,890	228	945	Extension for reply within fifth month	
	escription	119	310	219	155	Notice of Appeal	
Code (\$)	Fee Paid	120	310	220	155	Filing a brief in support of an appeal	
·	filing fee	121	270	221	135	Request for oral hearing	
· · · · · · · · · · · · · · · · · · ·	n filing fee	138	1,510	138	1,510	Petition to institute a public use proceeding	
108 710 208 355 Reissi	ue filing fee	140	110	240	55	Petition to revive - unavoidable	
	sional filing fee	141	1,240	241	620	Petition to revive – unintentional	
		142	1,240	242	620	Utility issue fee (or reissue)	
SUBTOTAL (1)	(\$)	143	440	243	220	Design issue fee	
		144	600	244	300	Plant issue fee	
2. EXTRA CLAIM FEES		122	130	122	130	Petitions to the Commissioner	
Extra Claims	Fee from Fee s below Paid					Petitions related to provisional	
Total Claims20** =	x =	123	50	123	50	applications	
Independent Claims -3** =	X =	126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent	x =	581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Entity Small Entity Fee Fee Fee Fee	- December	146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code (\$) Code (\$)	e Description sims in excess of 20	149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
	ependent claims in excess of 3	l					
	Itiple dependent claim, if not paid	179	710	279	355	Request for Continued Examination (RCE)	
109 80 209 40 ** F	Reissue independent claims over ginal patent	169	900	169	900	Request for expedited examination of a design application	
110 18 210 9 **F	Reissue claims in excess of 20 and er original patent	Other fe	e (specify	<i>(</i>)			
SUBTO			mmissio ve noted			ed to charge any additional fees to unt.	
		*Reduc	ed by Ba	sic Filine	g Fee Pa	aid SUBTOTAL (3)	
**or number previously paid, if greater; For R	eissues, see above		•	•	-	aid SUBTOTAL (3) (\$)	

SUBMITTED BY				C	Complete (if applicable)		
Name (Print/Type)	George B. F. Yee	Registration No. (Attorney/Agent)	37,478	Telephone	650-326-2400		
Signature	Ajmee	- BJ-41		Date	April 3, 2001		

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